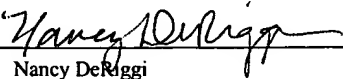


PATENT  
Docket No. 116692000300  
Client Ref. 00F027-US

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on July 23, 2001.

  
Nancy Deriggi

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Toshiyuki NAKAO et al.

Serial No.: 09/779,138

Filing Date: February 8, 2001

For: DEVICE MANAGEMENT SYSTEM,  
MANAGEMENT CLIENT,  
CONTROLLER SERVER, METHOD  
FOR MANAGING USAGE

Examiner: To be assigned

Group Art Unit: 2131

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

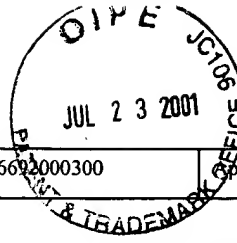
In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 116692000300. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: July 23, 2001

Respectfully submitted,

By: Alex Chartove  
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|--|-------------------------------------|--|-------------------------------|--|
| <b>Form PTO-1449</b><br><b>INFORMATION DISCLOSURE CITATION</b><br><b>IN AN APPLICATION</b><br><i>(Use several sheets if necessary)</i> | Docket Number 116692000300          |  | Application Number 09/779,138 |  |
|  | Applicant<br>Toshiyuki NAKAO et al. |  |                               |  |
|  | Filing Date February 8, 2001        |  | Group Art Unit 2131           |  |
|  | Mailing Date July 23, 2001          |  |                               |  |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date       | Document No. | Name        | Class | Subclass | Filing Date If<br>Appropriate |
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|                      | 1.          | 06/24/1997 | 5,642,199    | Ukai et al. |       |          | 01/20/1995                    |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date       | Document No.    | Country | Class | Subclass | Translation<br>YES NO |  |
|----------------------|-------------|------------|-----------------|---------|-------|----------|-----------------------|--|
|                      | 2.          | 06/11/1997 | EP 0 778 512 A2 | EPO     |       |          | X                     |  |
|                      | 3.          | 07/23/1996 | JP 08-187921    | Japan   |       |          | X (abstract)          |  |
|                      | 4.          | 12/29/1999 | WO 99/67720     | PTO     |       |          | X                     |  |

## OTHER DOCUMENTS

*(including author, title, Date, Pertinent Pages, Etc.)*

| Examiner<br>Initials | Ref.<br>No. | Title |
|----------------------|-------------|-------|
| EXAMINER: (examiner) |             |       |
| DATE CONSIDERED:     |             |       |

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.